



Commercialization Evaluation Report

CER 1 – INITIAL REVIEW		
ITEM	DESCRIPTION	
Date		
Emory Tech ID		
Title		
Disclosure Date		
Inventor(s)		
Case Manager		
Product/ Applications	How can this technology be applied in the marketplace (i.e., will this be a service, product or both)? Example: It is a blank for doing blank, etc.	
Technology Summary	A high-level overview of the science behind the technology. Approximately 2 paragraphs, the first paragraph summarizing the technology, the second detailing the proof of principle data supporting the technology.	
	<table border="1"> <tr> <td>Unmet Need</td> <td>What unmet need does this technology address.</td> </tr> </table>	Unmet Need
Unmet Need	What unmet need does this technology address.	
Market Summary	<p>A brief, high-level overview of the potential market. For many healthcare opportunities, this summary often involves details around epidemiology of a disease or a patient type. Identify unmet needs.</p> <ul style="list-style-type: none"> • Market Size: • Competing technologies: 	

	<ul style="list-style-type: none"> • Potential licensees: 		
	<table border="1"> <tr> <td data-bbox="435 417 626 420">Key Benefits</td> <td data-bbox="626 417 1485 420">Please bullet the key benefits this technology over current standard.</td> </tr> </table>	Key Benefits	Please bullet the key benefits this technology over current standard.
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Ownership	<p>Please detail any joint ownership information and what actions will need to be completed.</p> <p><input type="checkbox"/> Emory only</p> <p><input type="checkbox"/> VA – Department of Veterans Affairs</p> <p><i>To Do: Request completion of the VA Disclosure and Certification forms: https://www.research.va.gov/programs/tech_transfer/disclosure_certification.cfm and forward the completed form and the Emory disclosure to the VA at vattid@va.gov.</i></p> <p><input type="checkbox"/> GTRC – Georgia Tech Research Corporation</p> <p><i>To Do: Send a copy of Emory disclosure to GTRC associate.</i></p> <p><input type="checkbox"/> CHOA – Children's Healthcare of Atlanta</p> <p><input type="checkbox"/> Other (list institution) _____</p>		
Funding	<p>Please confirm with PI and note whether any non-federal funding such as foundation or industry funding was reported.</p> <p><input type="checkbox"/> _____ (list institution)</p> <p>Please confirm whether federal funding was reported or used.</p> <p><input type="checkbox"/> _____ (grant number)</p>		
IP Landscape	<p>An overview of the potential IP landscape – both literature and patent search. Identify search strategy and link potentially relevant references. Avoid interpretations about obviousness.</p>		

<p>Outstanding Questions</p>	<p>Are there any outstanding questions that should be addressed or discussed with the investigator?</p> <ul style="list-style-type: none"> ▪ Technical ?s ▪ IP ?s ▪ Market ?s <p>Suggest timeline for follow up with inventors on these outstanding questions or propose other solutions to address outstanding questions.</p>								
<p>Discussions</p>	<p>Have you reviewed technology with third parties? Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If applicable, list parties and provide comments</p> <table border="1" data-bbox="448 737 1463 1020"> <thead> <tr> <th data-bbox="448 737 712 804">Party</th> <th data-bbox="712 737 1463 804">Comments</th> </tr> </thead> <tbody> <tr> <td data-bbox="448 804 712 877"></td> <td data-bbox="712 804 1463 877"></td> </tr> <tr> <td data-bbox="448 877 712 951"></td> <td data-bbox="712 877 1463 951"></td> </tr> <tr> <td data-bbox="448 951 712 1020"></td> <td data-bbox="712 951 1463 1020"></td> </tr> </tbody> </table>	Party	Comments						
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<p>Notes from TMM Discussion</p>	<p><i>(To be completed by Licensing Associate after presentation.)</i></p>								

		Next Step	Notes/Rationale
<p>Decision</p>	<input type="checkbox"/>	Request patent filing, complete tech brief (Active)	
	<input type="checkbox"/>	No patent needed to commercialize; complete tech brief (Active)	
	<input type="checkbox"/>	Do not pursue (Inactive; Release)	
	<input type="checkbox"/>	Hold & Revisit	
	<input type="checkbox"/>	Other	
<p>Inventor feedback</p>	<input type="checkbox"/> Inventor has reviewed, no further information. <input type="checkbox"/> Inventor has further relevant information. <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Provide notes 		
<p>Changes made decision?</p>	Provide notes on changes made after inventor feedback or time passage. Complete with notes if project is made inactive after initial vetting due to new data or other considerations.		

Hold & Revisit Update (if applicable)						
Date	Update Notes	Decision				
		Activate, file patent	Activate, no patent	Inactivate/ (release?)	Hold & Revisit	Other
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



CER 2 – PRIOR TO PROVISIONAL PATENT EXPIRATION					
ITEM	DESCRIPTION				
Date					
Conversion Date					
Was CER 1 presented at TMM?	Yes <input type="checkbox"/> No <input type="checkbox"/>				
Inventor changes	List if applicable				
Joint Ownership	Note if different than at CER 1.				
Case Manager	Note if different than at CER 1.				
Publication	<p>Has this technology been published or presented publicly?</p> <table border="1"> <thead> <tr> <th>No</th> <th>Yes</th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> (date _____)</td> </tr> </tbody> </table>	No	Yes	<input type="checkbox"/>	<input type="checkbox"/> (date _____)
No	Yes				
<input type="checkbox"/>	<input type="checkbox"/> (date _____)				
Technology Updates	<p>Brief overview of technology, focusing on any changes since prior CER</p> <ul style="list-style-type: none"> • Has the investigator performed new experiments that are relevant? • Has there been a new funding agreement on this technology? • Is there a prototype or have new chemical entities, antibodies, etc. been designed or made? • Has there been a basic change in the underlying assumptions (MOA, etc.) that warrants revisiting CER 1? 				

Market Updates	<p>Key features of market from CER 1, plus any updates based on discussion of CER 1, updates to technology or information from marketing plan.</p> <ul style="list-style-type: none"> Identify markets Competitive products (note changes from CER 1) Potential licensees Have any potential licensees been contacted or had TechBriefs sent to them? Yes <input type="checkbox"/> No <input type="checkbox"/> Has feedback been received/interest shown/rejections? 							
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #333; color: white;"> <th style="width: 33%;">Contact</th> <th style="width: 33%;">T.B. or other</th> <th style="width: 33%;">Feedback/responses</th> </tr> </thead> <tbody> <tr style="background-color: #d9ead3;"> <td style="padding: 5px;">Company</td> <td style="padding: 5px;">TechBrief or identify form of contact</td> <td style="padding: 5px;"></td> </tr> </tbody> </table>			Contact	T.B. or other	Feedback/responses	Company	TechBrief or identify form of contact
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Company	TechBrief or identify form of contact							
	Competitive Advantages	Ensure that any new thoughts are captured.						
Recommended Next Steps	<p>Briefly identify next steps for technology, marketing, and licensing.</p> <p>OTT recommendations for further development:</p> <ul style="list-style-type: none"> Upcoming experiments. Recommended proof of concept work, prototyping, experiments, comparisons, etc. that may add value to the opportunity. Recommendations for funding sources, collaborations, resources etc. that may aid in development. <p>Marketing plan</p> <ul style="list-style-type: none"> If direct marketing, who will be contacted, when and by case manager or inventor? What are identified key “selling features” that are being promoted (and are these consistent with existing TechBrief)? Is there a joint marketing strategy with other technologies? Major challenges to marketing (i.e., there is only one potential licensee identified, etc.). Other <p>Specific obstacles for licensing ‘as is’ (e.g., chemicals need to be made, no valid animal models, investigator has stopped development, etc.)</p> <ul style="list-style-type: none"> Obstacles Are there plans to address and timeline? 							

	<p>Answers to issues raised in CER 1 (if applicable)</p> <ul style="list-style-type: none"> Note whether there were questions/what they were? <p>Other</p>								
<p>IP Landscape</p>	<ul style="list-style-type: none"> Brief overview of CER 1 (i.e., “crowded,” “clear”) and breadth of likely claim scope (i.e., “broad,” “medium,” “narrow,” “pinpoint at best”). Point out if any particularly relevant art identified, especially if new. Specifically identify any changes in assumptions made at CER 1. 								
<p>New Questions</p>	<p>Are there any outstanding questions that should be addressed or discussed with the investigator (also any CER 1 questions still outstanding)?</p> <ul style="list-style-type: none"> Technical ?s IP ?s Market ?s 								
<p>NEEDED FROM INVESTIGATOR</p>	<ul style="list-style-type: none"> <u>IS THERE ANY ITEM NEEDED FROM INVESTIGATOR PRIOR TO PATENT CONVERSION?</u> <u>Check with EPG, were assignments completed and returned for provisional filing? If not, request assignments.</u> 								
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Decision	Next Step		Notes/Rationale	
	<input type="checkbox"/>	File as PCT		
	<input type="checkbox"/>	File as US normal only		
	<input type="checkbox"/>	Refile Provisional	<u>**only available if not published**</u>	
	<input type="checkbox"/>	Do not convert		
	<input type="checkbox"/>	Other		
Other Party Notification	Is technology jointly owned with another institution (GTRC, etc., see CER 1)?			
Inventor feedback (after presentation)	<input type="checkbox"/> Inventor has reviewed, no further information. <input type="checkbox"/> Inventor has further relevant information. <input checked="" type="checkbox"/> Provide notes			
Changes made to decision	Provide notes on changes made after inventor feedback or additional data.			



CER 3 – PRIOR TO PCT EXPIRATION	
ITEM	DESCRIPTION
Date	
National Phase Date	
Case Manager change	Note if different than at CER 1 or CER 2.
Technology Updates	<ul style="list-style-type: none">• Is there a prototype or have new chemical entities, antibodies, etc. been designed or made?• Has there been a basic change in the underlying assumptions (MOA, etc.), i.e., were the assumptions proven correct/wrong?• Other key technology updates.• Updates on funding.
Project Development Plan Updates	<p>Briefly identify steps for technology, marketing, and licensing taken since CER 2.</p> <ul style="list-style-type: none">• Investigator-related updates<ul style="list-style-type: none">○ Were ‘upcoming’ experiments completed?○ Is there a prototype/pre-clinical lead, etc.?• Marketing plan<ul style="list-style-type: none">○ Updates on direct marketing – who was contacted, feedback.○ Are key “selling features” consistent with existing TechBrief?<ul style="list-style-type: none">▪ Note details○ Is there a new marketing strategy?<ul style="list-style-type: none">▪ Note details○ Has there been feedback?

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Company	Tech brief or identify form of contact								
	<ul style="list-style-type: none"> ○ Have there been RDAs signed? ○ Other ● Specific obstacles for licensing 								
IP Landscape	<ul style="list-style-type: none"> ● Has there been a search report or other substantive office action/IP review? Yes <input type="checkbox"/> No <input type="checkbox"/> ● If yes, how did it look? 								
New Questions	<p>Are there any outstanding questions that should be addressed or discussed with the investigator?</p> <ul style="list-style-type: none"> ● Technical ?s ● IP ?s ● Market ?s 								
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Third Party CER Received?	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If Yes, provide overview.</p>								

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